

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
30 August 2001 (30.08.2001)

PCT

(10) International Publication Number
WO 01/62827 A2

- (51) International Patent Classification⁷: **C08G 65/333**
- (21) International Application Number: **PCT/US01/05528**
- (22) International Filing Date: 21 February 2001 (21.02.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/183,833 22 February 2000 (22.02.2000) US
- (71) Applicant (*for all designated States except US*): **SHEAR-WATER CORPORATION [US/US]**; 1112 Church Street, Huntsville, AL 35801 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): **SHEN, Xiaoming** [CN/US]; 215 Park Stone Dr., Madison, AL 35758 (US).
- (74) Agents: **HUMPHREY, Christopher, M. et al.**; Alston & Bird LLP, Bank of America Plaza, 101 South Tryon Street, Suite 4000, Charlotte, NC 28280-4000 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 01/62827 A2

(54) Title: N-MALEIMIDYL POLYMER DERIVATIVES

(57) Abstract: The invention is directed to multi-functional N-maleimidyl polymer derivatives comprising a water soluble and non-peptidic polymer backbone having a terminal carbon, such as a poly(alkylene glycol), the terminal carbon of the polymer backbone being directly bonded to the nitrogen atom of a N-maleimidyl moiety without a linking group therebetween. The invention also provides two methods of preparing such linkerless N-maleimidyl polymer derivatives.

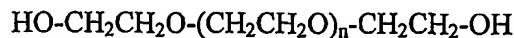
N-MALEIMIDYL POLYMER DERIVATIVES

FIELD OF THE INVENTION

This invention relates to N-maleimidyl derivatives of water-soluble and non-peptidic polymers.

BACKGROUND OF THE INVENTION

5 Covalent attachment of the hydrophilic polymer poly(ethylene glycol), abbreviated PEG, also known as poly(ethylene oxide), abbreviated PEO, to molecules and surfaces is of considerable utility in biotechnology and medicine. In its most common form, PEG is a linear polymer terminated at each end with hydroxyl groups:



10 The above polymer, alpha-,omega-dihydroxypoly(ethylene glycol), can be represented in brief form as HO-PEG-OH where it is understood that the -PEG- symbol represents the following structural unit:



where n typically ranges from about 3 to about 4000.

15 PEG is commonly used as methoxy-PEG-OH, or mPEG in brief, in which one terminus is the relatively inert methoxy group, while the other terminus is a hydroxyl group that is subject to ready chemical modification. The structure of mPEG is given below.



20 Random or block copolymers of ethylene oxide and propylene oxide, shown below, are closely related to PEG in their chemistry, and they can be substituted for PEG in many of its applications.



wherein each R is independently H or CH₃.

25 PEG is a polymer having the properties of solubility in water and in many organic solvents, lack of toxicity, and lack of immunogenicity. One use of PEG is to

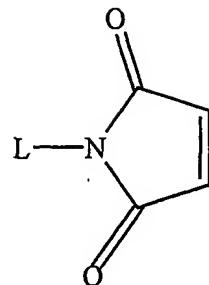
covalently attach the polymer to insoluble molecules to make the resulting PEG-molecule "conjugate" soluble. For example, it has been shown that the water-insoluble drug paclitaxel, when coupled to PEG, becomes water-soluble. Greenwald, *et al.*, *J. Org. Chem.*, 60:331-336 (1995).

- 5 To couple PEG to a molecule, such as a protein, it is often necessary to
“activate” the PEG by preparing a derivative of the PEG having a functional group at
a terminus thereof. The functional group is chosen based on the type of available
reactive group on the molecule that will be coupled to the PEG. For example, the
functional group could be chosen to react with an amino group on a protein in order to
10 form a PEG-protein conjugate. There is a continuing need in the art for new activated
PEG derivatives useful for coupling to biologically active molecules.

SUMMARY OF THE INVENTION

The invention provides multi-functional N-maleimidyl polymer derivatives,
15 such as bifunctional and multi-arm N-maleimidyl PEG derivatives, and methods for
preparing such derivatives. The derivatives of the invention have no linking group
between the terminus of the polymer backbone and the nitrogen atom of the
maleimidyl moiety. The absence of a linker minimizes structural complexity of the
derivative and simplifies synthesis of the derivative. Further, the “linkerless”
20 derivatives of the invention typically cost less to produce and exhibit reduced
likelihood of degradation *in vivo*. Such maleimidyl-activated polymers are suitable
for coupling to other molecules bearing thiol groups, including, but not limited to,
proteins having one or more cysteine thiol groups.

The invention provides a multi-functional N-maleimidyl polymer derivative
25 comprising a water soluble and non-peptidic polymer backbone having an average
molecular weight from about 800 Da to about 100,000 Da, the polymer backbone
having a first terminus bonded to a first functional group and a second terminus
having a terminal carbon, wherein said terminal carbon of said second terminus is
directly bonded to a N-maleimidyl moiety having the structure:



wherein L is the point of bonding to the terminal carbon of the second terminus of the polymer backbone. The second functional group may be a second maleimidyl moiety or any other functional group known in the art that will not react 5 with a maleimidyl group. The polymer backbone has two or more termini "activated" with a functional group such as a maleimidyl group.

The polymer backbone is preferably a poly(alkylene glycol), copolymer thereof, terpolymer thereof, or mixture thereof. Examples include poly(ethylene glycol), poly(propylene glycol), and copolymers of ethylene glycol and propylene 10 glycol. As explained in greater detail below, preferred embodiments of the invention utilize PEG polymers, such as bifunctional PEG, multiarmed PEG, forked PEG, branched PEG, pendent PEG, and PEG with degradable linkages therein.

The invention provides two methods of preparing the linkerless N-maleimidyl polymer derivatives. In one method, a water-soluble and non-peptidic polymer 15 backbone having an average molecular weight from about 800 Da to about 100,000 Da, the polymer backbone having a first terminus bonded to a first functional group and a second terminus bonded to an amine group, is reacted with maleic anhydride to form an open ring intermediate. The open ring intermediate is heated in the presence of acetic anhydride and a salt of acetic acid, such as sodium or potassium acetate, to 20 form a multi-functional N-maleimidyl polymer derivative product. In a second method, an N-alkoxycarbonylmaleimide is reacted with the water-soluble and non-peptidic polymer backbone having an average molecular weight of about 800 Da to about 100,000 Da and a terminal amine group to form the N-maleimidyl polymer derivative product in a single step. In one embodiment, the polymer backbone used in 25 either reaction method is X-PEG-NH₂, wherein PEG is poly(ethylene glycol) and X is a second functional group.

Using either method, the N-maleimidyl polymer derivative product can be purified prior to use. For example, ion exchange chromatography and precipitation

techniques can be employed to purify the final product. The N-maleimidyl polymer derivative product can be reacted with a biologically active agent to form a biologically active polymer conjugate. As noted above, the N-maleimidyl polymer derivatives are particularly suited for reaction with thiol groups, such as thiol groups 5 on proteins or peptides.

DETAILED DESCRIPTION OF THE INVENTION

The terms "functional group", "active moiety", "activating group", "reactive site", "chemically reactive group" and "chemically reactive moiety" are used in the 10 art and herein to refer to distinct, definable portions or units of a molecule. The terms are somewhat synonymous in the chemical arts and are used herein to indicate that the portions of molecules that perform some function or activity and are reactive with other molecules. The term "active," when used in conjunction with functional groups, is intended to include those functional groups that react readily with electrophilic or 15 nucleophilic groups on other molecules, in contrast to those groups that require strong catalysts or highly impractical reaction conditions in order to react. For example, as would be understood in the art, the term "active ester" would include those esters that react readily with nucleophilic groups such as amines. Typically, an active ester will react with an amine in aqueous medium in a matter of minutes, whereas certain esters, 20 such as methyl or ethyl esters, require a strong catalyst in order to react with a nucleophilic group. Due to its relatively inert nature, an alkoxy group is not considered a functional group herein.

The term "linkage" or "linker" is used herein to refer to groups or bonds that normally are formed as the result of a chemical reaction and typically are covalent 25 linkages. Hydrolytically stable linkages means that the linkages are substantially stable in water and do not react with water at useful pHs, e.g., under physiological conditions for an extended period of time, perhaps even indefinitely. Hydrolytically unstable or degradable linkages means that the linkages are degradable in water or in aqueous solutions, including for example, blood. Enzymatically unstable or 30 degradable linkages means that the linkage can be degraded by one or more enzymes. As understood in the art, PEG and related polymers may include degradable linkages in the polymer backbone or in the linker group between the polymer backbone and one or more of the terminal functional groups of the polymer molecule. For example,

ester linkages formed by the reaction of PEG carboxylic acids or activated PEG carboxylic acids with alcohol groups on a biologically active agent generally hydrolyze under physiological conditions to release the agent. Other hydrolytically degradable linkages include carbonate linkages; imine linkages resulted from reaction 5 of an amine and an aldehyde (see, e.g., Ouchi *et al.*, *Polymer Preprints*, 38(1):582-3 (1997), which is incorporated herein by reference.); phosphate ester linkages formed by reacting an alcohol with a phosphate group; hydrazone linkages which are reaction product of a hydrazide and an aldehyde; acetal linkages that are the reaction product of an aldehyde and an alcohol; orthoester linkages that are the reaction product of a 10 formate and an alcohol; peptide linkages formed by an amine group, e.g., at an end of a polymer such as PEG, and a carboxyl group of a peptide; and oligonucleotide linkages formed by a phosphoramidite group, e.g., at the end of a polymer, and a 5' hydroxyl group of an oligonucleotide.

The term "biologically active molecule", "biologically active moiety" or 15 "biologically active agent" when used herein means any substance which can affect any physical or biochemical properties of a biological organism, including but not limited to viruses, bacteria, fungi, plants, animals, and humans. In particular, as used herein, biologically active molecules include any substance intended for diagnosis, cure mitigation, treatment, or prevention of disease in humans or other animals, or to 20 otherwise enhance physical or mental well-being of humans or animals. Examples of biologically active molecules include, but are not limited to, peptides, proteins, enzymes, small molecule drugs, dyes, lipids, nucleosides, oligonucleotides, cells, viruses, liposomes, microparticles and micelles. Classes of biologically active agents that are suitable for use with the invention include, but are not limited to, antibiotics, 25 fungicides, anti-viral agents, anti-inflammatory agents, anti-tumor agents, cardiovascular agents, anti-anxiety agents, hormones, growth factors, steroidal agents, and the like.

The terms "alkyl," "alkene," and "alkoxy" include straight chain and branched alkyl, alkene, and alkoxy, respectively. The term "lower alkyl" refers to C1-C6 alkyl. 30 The term "alkoxy" refers to oxygen substituted alkyl, for example, of the formulas -OR or -ROR¹, wherein R and R¹ are each independently selected alkyl. The terms "substituted alkyl" and "substituted alkene" refer to alkyl and alkene, respectively, substituted with one or more non-interfering substituents, such as but not limited to,

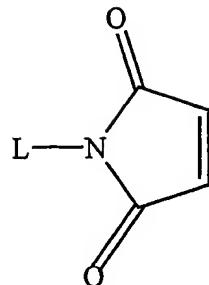
C₃-C₆ cycloalkyl, e.g., cyclopropyl, cyclobutyl, and the like; acetylene; cyano; alkoxy, e.g., methoxy, ethoxy, and the like; lower alkanoyloxy, e.g., acetoxy; hydroxy; carboxyl; amino; lower alkylamino, e.g., methylamino; ketone; halo, e.g. chloro or bromo; phenyl; substituted phenyl, and the like. The term "halogen" 5 includes fluorine, chlorine, iodine and bromine.

"Aryl" means one or more aromatic rings, each of 5 or 6 carbon atoms. Multiple aryl rings may be fused, as in naphthyl or unfused, as in biphenyl. Aryl rings may also be fused or unfused with one or more cyclic hydrocarbon, heteroaryl, or heterocyclic rings.

10 "Substituted aryl" is aryl having one or more non-interfering groups as substituents.

"Non-interfering substituents" are those groups that yield stable compounds. Suitable non-interfering substituents or radicals include, but are not limited to, halo, C₁-C₁₀ alkyl, C₂-C₁₀ alkenyl, C₂-C₁₀ alkynyl, C₁-C₁₀ alkoxy, C₇-C₁₂ aralkyl, C₇-C₁₂ 15 alkaryl, C₃-C₁₀ cycloalkyl, C₃-C₁₀ cycloalkenyl, phenyl, substituted phenyl, toluoyl, xylenyl, biphenyl, C₂-C₁₂ alkoxyalkyl, C₇-C₁₂ alkoxyaryl, C₇-C₁₂ aryloxyalkyl, C₆-C₁₂ oxyaryl, C₁-C₆ alkylsulfinyl, C₁-C₁₀ alkylsulfonyl, -(CH₂)_m-O-(C₁-C₁₀ alkyl) wherein m is from 1 to 8, aryl, substituted aryl, substituted alkoxy, fluoroalkyl, heterocyclic radical, substituted heterocyclic radical, nitroalkyl, -NO₂, -CN, -NRC(O)-(C₁-C₁₀ 20 alkyl), -C(O)-(C₁-C₁₀ alkyl), C₂-C₁₀ thioalkyl, -C(O)O-(C₁-C₁₀ alkyl), -OH, -SO₂, =S, -COOH, -NR₂, carbonyl, -C(O)-(C₁-C₁₀ alkyl)-CF₃, -C(O)-CF₃, -C(O)NR₂, -(C₁-C₁₀ alkyl)-S-(C₆-C₁₂ aryl), -C(O)-(C₆-C₁₂ aryl), -(CH₂)_m-O-(CH₂)_m-O-(C₁-C₁₀ alkyl) 25 wherein each m is from 1 to 8, -C(O)NR₂, -C(S)NR₂, -SO₂NR₂, -NRC(O)NR₂, -NRC(S)NR₂, salts thereof, and the like. Each R as used herein is H, alkyl or substituted alkyl, aryl or substituted aryl, aralkyl, or alkaryl.

The invention provides a multi-functional N-maleimidyl polymer derivative comprising a water soluble and non-peptidic polymer backbone having an average molecular weight from about 800 Da to about 100,000 Da, the polymer backbone having a first terminus bonded to a first functional group and a second terminus 30 having a terminal carbon, wherein said terminal carbon of said second terminus is directly bonded to a N-maleimidyl moiety having the structure:



wherein L is the point of bonding to the terminal carbon of the second terminus of the polymer backbone.

The polymer backbone of the water-soluble and non-peptidic polymer can be 5 poly(ethylene glycol) (i.e. PEG). However, it should be understood that other related polymers are also suitable for use in the practice of this invention and that the use of the term PEG or poly(ethylene glycol) is intended to be inclusive and not exclusive in this respect. The term PEG includes poly(ethylene glycol) in any of its forms, including bifunctional PEG, multiarmed PEG, forked PEG, branched PEG, pendent 10 PEG (i.e. PEG or related polymers having one or more functional groups pendent to the polymer backbone), or PEG with degradable linkages therein.

PEG is typically clear, colorless, odorless, soluble in water, stable to heat, inert to many chemical agents, does not hydrolyze or deteriorate, and is generally 15 non-toxic. Poly(ethylene glycol) is considered to be biocompatible, which is to say that PEG is capable of coexistence with living tissues or organisms without causing harm. More specifically, PEG is substantially non-immunogenic, which is to say that PEG does not tend to produce an immune response in the body. When attached to a molecule having some desirable function in the body, such as a biologically active agent, the PEG tends to mask the agent and can reduce or eliminate any immune 20 response so that an organism can tolerate the presence of the agent. PEG conjugates tend not to produce a substantial immune response or cause clotting or other undesirable effects. PEG having the formula -CH₂CH₂O-(CH₂CH₂O)_n-CH₂CH₂-, where n is from about 3 to about 4000, typically from about 20 to about 2000, is one 25 useful polymer in the practice of the invention. PEG having a molecular weight of from about 800 Da to about 100,000 Da are particularly useful as the polymer backbone.

The polymer backbone can be linear or branched. Branched polymer backbones are generally known in the art. Typically, a branched polymer has a

central branch core moiety and a plurality of linear polymer chains linked to the central branch core. PEG is commonly used in branched forms that can be prepared by addition of ethylene oxide to various polyols, such as glycerol, glycerol oligomers, pentaerythritol and sorbitol. The central branch moiety can also be derived from 5 several amino acids, such as lysine. The branched poly(ethylene glycol) can be represented in general form as R(-PEG-OH)_m in which R is derived from a core moiety, such as glycerol, glycerol oligomers, or pentaerythritol, and m represents the number of arms. Multi-armed PEG molecules, such as those described in U.S. Patent No. 5,932,462, which is incorporated by reference herein in its entirety, can also be 10 used as the polymer backbone.

Branched PEG can also be in the form of a forked PEG represented by PEG(-YCHZ₂)_n, where Y is a linking group and Z is an activated terminal group linked to CH by a chain of atoms of defined length.

Yet another branched form, the pendant PEG, has reactive groups, such as 15 carboxyl, along the PEG backbone rather than at the end of PEG chains.

In addition to these forms of PEG, the polymer can also be prepared with weak or degradable linkages in the backbone. For example, PEG can be prepared with ester linkages in the polymer backbone that are subject to hydrolysis. As shown below, this hydrolysis results in cleavage of the polymer into fragments of lower 20 molecular weight:



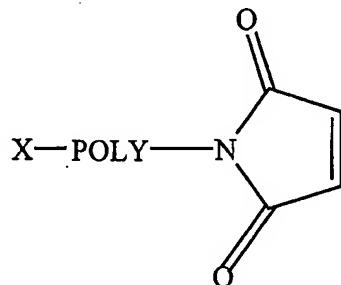
It is understood by those skilled in the art that the term poly(ethylene glycol) or PEG represents or includes all the above forms.

Many other polymers are also suitable for the invention. Polymer backbones 25 that are non-peptidic and water-soluble, with from 2 to about 300 termini, are particularly useful in the invention. Examples of suitable polymers include, but are not limited to, other poly(alkylene glycols), such as poly(propylene glycol) ("PPG"), copolymers thereof (e.g. copolymers of ethylene glycol and propylene glycol), terpolymers thereof, mixtures thereof, and the like. Although the molecular weight of 30 each chain of the polymer backbone can vary, it is typically in the range of from about 800 Da to about 100,000 Da, often from about 6,000 Da to about 80,000 Da.

Those of ordinary skill in the art will recognize that the foregoing list for substantially water soluble and non-peptidic polymer backbones is by no means exhaustive and is merely illustrative, and that all polymeric materials having the qualities described above are contemplated.

- 5 The polymer derivatives of the invention are "multi-functional", meaning that the polymer backbone has at least two termini, and possibly as many as about 300 termini, functionalized or activated with a functional group. Multifunctional polymer derivatives include linear polymers having two termini, each terminus being bonded to a functional group which may be the same or different.

- 10 In one embodiment, the polymer derivative has the structure:



wherein:

- 15 POLY is a linear water soluble and non-peptidic polymer having a terminal carbon (e.g., PEG), the terminal carbon being directly bonded to the nitrogen atom of the N-maleimidyl moiety; and

X is a second functional group.

- Examples of suitable functional groups for use as X include hydroxyl, protected hydroxyl, active ester, such as N-hydroxysuccinimydyl esters and 1-benzotriazolyl esters, active carbonate, such as N-hydroxysuccinimydyl carbonates and 1-benzotriazolyl carbonates, acetal, aldehyde, aldehyde hydrates, alkenyl, acrylate, methacrylate, acrylamide, active sulfone, amine, protected amine, hydrazide, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, isocyanate, isothiocyanate, maleimide, vinylsulfone, dithiopyridine, vinylpyridine, iodoacetamide, epoxide, glyoxals, diones, mesylates, tosylates, and tresylate. The functional group is typically chosen for attachment to a functional group on a biologically active agent. As would be understood, the selected X moiety should be compatible with the maleimidyl group so that reaction with the maleimidyl group

does not occur. Particularly preferred functional groups include -OH, -NH₂, -CO₂H, -CHO, -CH(OC₂H₅)₂, N-hydroxysuccinimidyl esters, 1-benzotriazolyl esters, N-hydroxysuccinimidyl carbonates, 1-benzotriazolyl carbonates, and tresylate. The N-maleimidyl polymer derivatives may be homobifunctional, meaning that the second 5 functional group (i.e., X) is also a N-maleimidyl moiety, or heterobifunctional, meaning that the second functional group is a different functional group.

As would be understood in the art, the term “protected” refers to the presence of a protecting group or moiety that prevents reaction of the chemically reactive functional group under certain reaction conditions. The protecting group will vary 10 depending on the type of chemically reactive group being protected. For example, if the chemically reactive group is an amine or a hydrazide, the protecting group can be selected from the group of tert-butyloxycarbonyl (t-Boc) and 9-fluorenylmethoxycarbonyl (Fmoc). If the chemically reactive group is a thiol, the protecting group can be orthopyridyldisulfide. If the chemically reactive group is a 15 carboxylic acid, such as butanoic or propionic acid, or a hydroxyl group, the protecting group can be benzyl or an alkyl group such as methyl, ethyl, or tert-butyl. Other protecting groups known in the art may also be used in the invention.

Specific examples of terminal functional groups in the literature include N-succinimidyl carbonate (see e.g., U.S. Patent Nos. 5,281,698, 5,468,478), amine (see, 20 e.g., Buckmann *et al.* *Makromol. Chem.* 182:1379 (1981), Zaplipsky *et al.* *Eur. Polym. J.* 19:1177 (1983)), hydrazide (See, e.g., Andresz *et al.* *Makromol. Chem.* 179:301 (1978)), succinimidyl propionate and succinimidyl butanoate (see, e.g., Olson *et al.* in *Poly(ethylene glycol) Chemistry & Biological Applications*, pp 170-181, Harris & Zaplipsky Eds., ACS, Washington, DC, 1997; see also U.S. Patent No. 5,672,662), 25 succinimidyl succinate (See, e.g., Abuchowski *et al.* *Cancer Biochem. Biophys.* 7:175 (1984) and Joppich *et al.* *Macrolol. Chem.* 180:1381 (1979)), succinimidyl ester (see, e.g., U.S. Patent No. 4,670,417), benzotriazole carbonate (see, e.g., U.S. Patent No. 5,650,234), glycidyl ether (see, e.g., Pitha *et al.* *Eur. J. Biochem.* 94:11 (1979), Elling *et al.* *Biotech. Appl. Biochem.* 13:354 (1991), oxycarbonylimidazole (see, e.g., 30 Beauchamp, *et al.*, *Anal. Biochem.* 131:25 (1983), Tondelli *et al.* *J. Controlled Release* 1:251 (1985)), p-nitrophenyl carbonate (see, e.g., Veronese, *et al.*, *Appl. Biochem. Biotech.*, 11:141 (1985); and Sartore *et al.*, *Appl. Biochem. Biotech.*, 27:45 (1991)), aldehyde (see, e.g., Harris *et al.* *J. Polym. Sci. Chem. Ed.* 22:341 (1984), U.S.

Patent No. 5,824,784, U.S. Patent 5,252,714), maleimide (*see, e.g.*, Goodson *et al.* *Bio/Technology* 8:343 (1990), Romani *et al.* in *Chemistry of Peptides and Proteins* 2:29 (1984)), and Kogan, *Synthetic Comm.* 22:2417 (1992)), orthopyridyl-disulfide (*see, e.g.*, Woghiren, *et al.* *Bioconj. Chem.* 4:314 (1993)), acrylol (*see, e.g.*, Sawhney *et al.*, *Macromolecules*, 26:581 (1993)), vinylsulfone (*see, e.g.*, U.S. Patent No. 5,900,461). All of the above references are incorporated herein by reference.

In a preferred embodiment, the polymer derivatives of the invention comprise a polymer backbone having the structure:



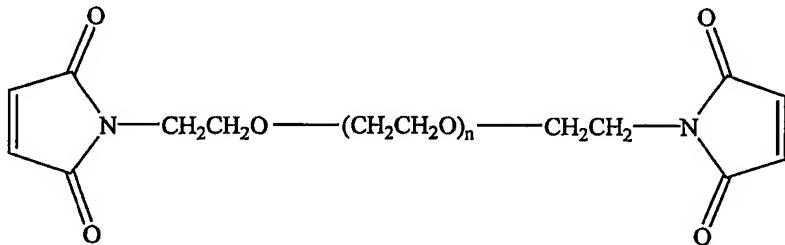
10 wherein:

L is the point of bonding to the nitrogen atom of the N-maleimidyl moiety;

X is a functional group as described above; and

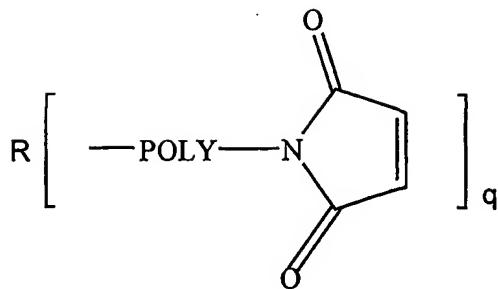
n is about 20 to about 4000.

15 A specific example of a homobifunctional polymer derivative comprising the above polymer backbone is shown below:



20 wherein n is about 20 to about 4000.

One example of a multi-armed embodiment of the invention has the following structure:

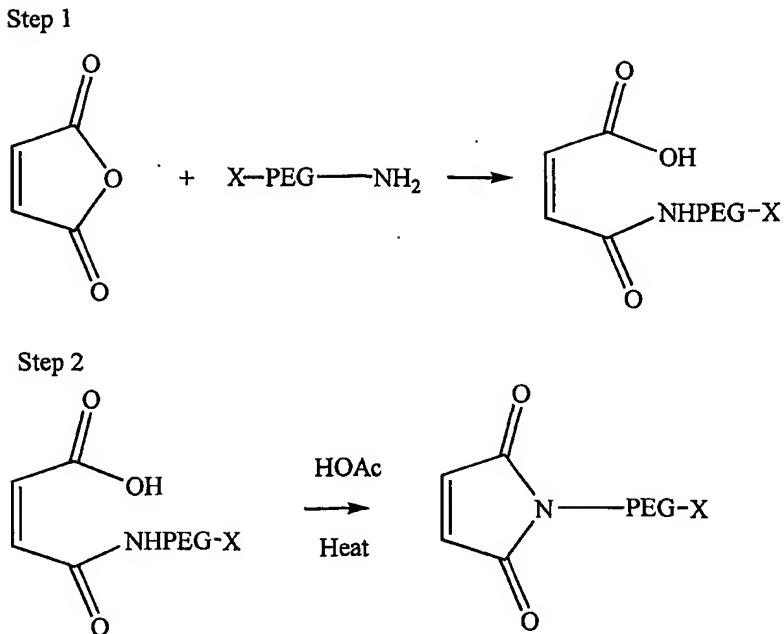


wherein:

POLY is a water-soluble and non-peptidic polymer backbone having a terminal carbon (e.g., PEG), the terminal carbon being directly bonded to the nitrogen atom of the N-maleimidyl moiety;

R is a central core molecule, such as glycerol, glycerol oligomers, pentaerythritol, sorbitol, or lysine; and
q is an integer from 2 to about 300.

The derivatives of the invention can be prepared by two methods. In the first method, a water-soluble and non-peptidic polymer backbone having an average molecular weight from about 800 Da to about 100,000 Da, the polymer backbone having a first terminus bonded to a first functional group and a second terminus bonded to an amine group, is reacted with maleic anhydride to form an open ring amide carboxylic acid intermediate. The ring of the intermediate is then closed in a second step by heating the intermediate in the presence of acetic anhydride and a salt of acetic acid, such as sodium or potassium acetate. Preferably, the heating step comprises heating the intermediate at a temperature of about 50°C to about 140°C for about 0.2 to about 5 hours. This two step process is summarized in the reaction scheme below:



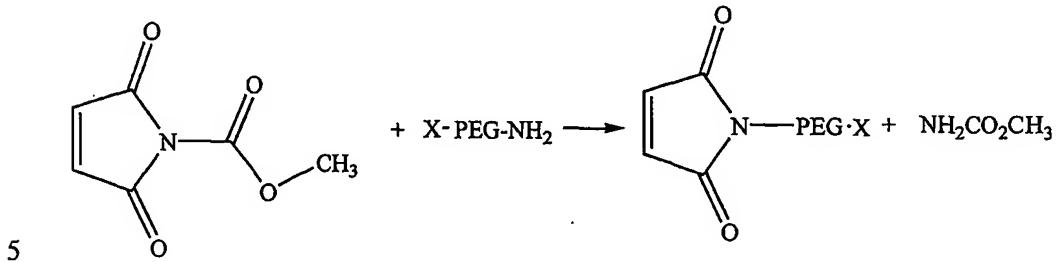
As shown, a preferred polymer backbone for use in the reaction has the formula X-PEG-NH₂, wherein PEG is poly(ethylene glycol) and X is a functional group which does not react with amine or maleimidyl groups. Examples of suitable functional groups include hydroxyl, protected hydroxyl, acetal, alkenyl, amine, protected amine, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, maleimide, dithiopyridine, and vinylpyridine. The open ring intermediate is preferably purified by precipitation prior to the heating step.

The crude N-maleimidyl polymer derivative product of the above reaction scheme may contain a substantial amount of the open ring intermediate. As a result, it is generally preferable to purify the polymer derivative product. Preferred purification techniques include precipitation and ion exchange chromatography.

In one embodiment, the purification step comprises passing the N-maleimidyl polymer derivative product through an ion exchange column, collecting an eluent containing the N-maleimidyl polymer derivative product from the column, and precipitating the N-maleimidyl polymer derivative product by contacting the product with a solvent, such as ethyl ether, isopropanol, or mixtures thereof. The precipitated product may then be collected by filtration and dried.

In a second preferred method for preparation of the N-maleimidyl polymer derivatives of the invention in a single step, an N-alkoxycarbonylmaleimide is reacted

with a water-soluble and non-peptidic polymer backbone having an average molecular weight from about 800 Da to about 100,000 Da and a terminal amine group to form a N-maleimidyl polymer derivative product. An exemplary reaction scheme is shown below:



wherein PEG is poly(ethylene glycol) and X is a capping group such as alkoxy or a functional group as described above.

Purification of the crude product can also be accomplished by precipitation
10 and ion exchange chromatography as described above.

Heterobifunctional derivatives of this invention can be prepared by the reactions described above by utilizing appropriately substituted heterobifunctional polymer amines. An example of a heterobifunctional polymer amine is a PEG amine acid:

15 NH₂-PEG-CO₂H

Another example is PEG diamine, in which one of the amines is protected by a moiety such as t-Boc:

NH₂-PEG-NH-t-Boc

After conversion of the amine group to the maleimidyl group, the resulting N-maleimidyl PEG heterobifunctional molecule can then be converted to other useful heterobifunctional N-maleimidyl PEG derivatives. For example, a α -N-maleimidyl, ω -carboxylic acid PEG can be converted to the N-succinimidyl ester. In another example, t-Boc can be hydrolyzed to yield an ω -amino- α -N-maleimidyl PEG.

Heterobifunctional derivatives are useful when it is desired to attach different molecules to each terminus of the polymer. For example, the α -N-maleimidyl- ω -N-succinimidyl carboxylate PEG would allow the attachment of a molecule having a

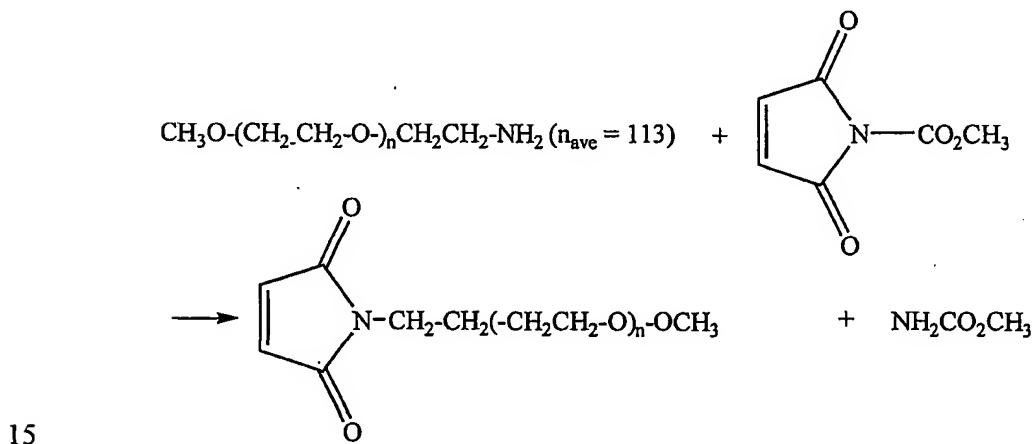
thiol group to the N-maleimidyl terminus of the PEG and a molecule having an amino group to the N-succinimidy carboxylate terminus of the PEG.

The N-maleimidyl polymer derivatives of the invention can be used to react with a biologically active agent, such as a protein or peptide, to form a biologically active polymer conjugate. Since the resulting conjugate does not contain a linker between the maleimidyl moiety and the polymer terminus, there is less likelihood of degradation of the conjugate *in vivo*, thereby providing a more hydrolytically stable biologically active polymer conjugate.

The following examples are given to illustrate the invention, but should not be considered in limitation of the invention.

Example 1

Preparation of N-methoxy PEG₅₀₀₀ maleimide



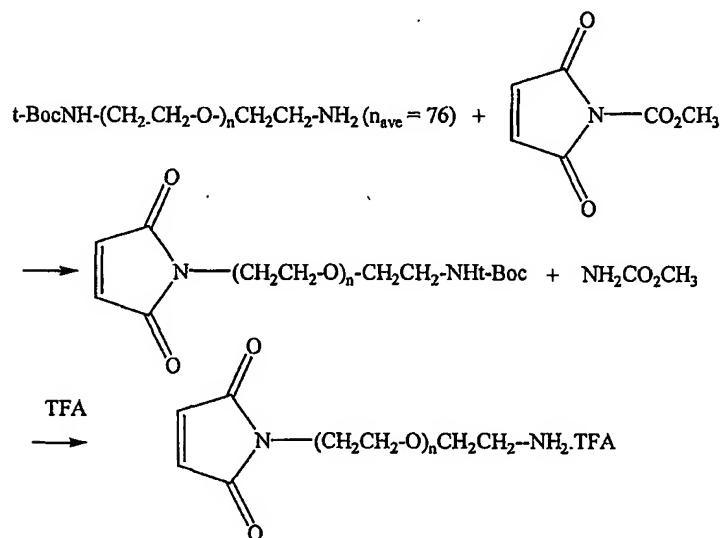
mPEG-NH₂ (ave. MW=5000 Da, 1 g) was dissolved in saturated aqueous NaHCO₃ (5 ml) and the mixture was cooled to 0°C. N-methoxycarbonylmaleimide (0.1 g) was added with vigorous stirring. After stirring for 10 minutes, water (10 ml) was added and the mixture was stirred an additional 45 minutes. The pH was adjusted to 3.0 with 0.5 N sulfuric acid and about 15 wt% NaCl was added. The reaction was extracted with CH₂Cl₂ and the combined extracts were dried over Na₂SO₄, filtered, and the filtrate was evaporated to dryness. Ethyl ether was added and the precipitate collected by filtration and dried under vacuum at room temperature overnight to yield 0.8 g of

the product as a white powder. The product had 79% substitution of the maleimidyl group on the PEG moiety. The ^1H nmr was consistent with that of N-maleimidyl methoxy PEG (nmr: dmso-d6: 3.51 ppm, PEG backbone; 7.03, CH =CH).

5

Example 2

Preparation of N-maleimidyl poly(ethylene glycol) amine



- 10 tBoc PEG amine (MW 3400 Da, 2.0 g was dissolved in saturated aqueous NaHCO_3 (10 ml) and the mixture was cooled to 0°C . N-methoxycarbonylmaleimide (0.28 g) was added with vigorous stirring. After stirring for 10 minutes, water (20 ml) was added and the mixture was stirred an additional 45 minutes. The pH was adjusted to 3.0 with 0.5 N sulfuric acid and about 15 wt% NaCl was added. The reaction was
15 extracted with CH_2Cl_2 and the combined extracts were dried over Na_2SO_4 , filtered, and the filtrate was evaporated to dryness. Ethyl ether (150 ml) was added and the precipitate collected by filtration and dried under vacuum at room temperature overnight to yield 1.5 g of the product as a white powder. The product had 74% substitution of the maleimidyl group on the PEG moiety. The ^1H nmr was consistent
20 with that of N-maleimidyl methoxy PEG (nmr: dmso-d6: 3.51 ppm, PEG backbone ; 7.03, HC=CH).

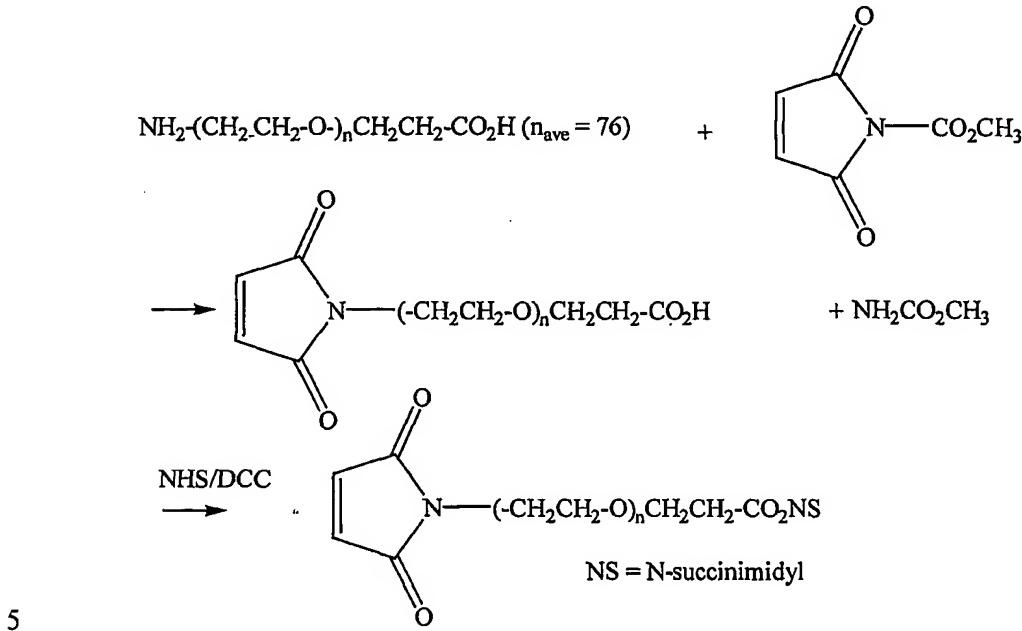
The above described crude N-maleimidyl PEG t-Boc amine was purified by ion exchange chromatography. The crude product (1.45g in 100 ml deionized water)

was loaded onto DEAE Sepaharose, 100 ml) and eluted with aqueous NaCl (15%, pH 3). The eluent was extracted with CH₂Cl₂ (3 x 100 ml) and the extract was dried over Na₂SO₄, evaporated to dryness and precipitated with ethyl ether (100 ml). The precipitate was collected by filtration and dried under vacuum at room temperature to 5 yield 0.7 g of N-maleimidyl PEG t-Boc amine. The ¹H nmr was consistent with that of N-maleimidyl PEG t-Boc amine (¹H nmr: dmso-d6: 1.37 ppm, t-butyl; 3.51, PEG backbone; 7.03, HC=CH).

N-maleimidyl PEG t-Boc amine (0.7 g) was dissolved in trifluoroacetic acid/CH₂Cl₂ (1:1, 20 ml) and stirred at room temperature for 1 h. The solution was 10 evaporated to dryness under vacuum and the product was precipitated by the addition of ether (100 ml). The product was dried under vacuum overnight to yield 0.58 g of N-maleimidyl PEG ammonium trifluoroacetate as a white powder. The product had 92% substitution of the N-maleimidyl group on PEG by GPC. The product was shown by ¹H nmr to have about 100% substitution of the amine group on PEG and 15 about 90% of the maleimidyl group on PEG. ¹H nmr (dmso d-6): 3.51 ppm, PEG backbone; 7.03, HC=CH, 7.80, NH₃⁺.

Example 3

Preparation of N-maleimidyl poly(ethylene glycol) propionic acid and N-maleimidyl poly(ethylene glycol) N-succinimidyl propionate



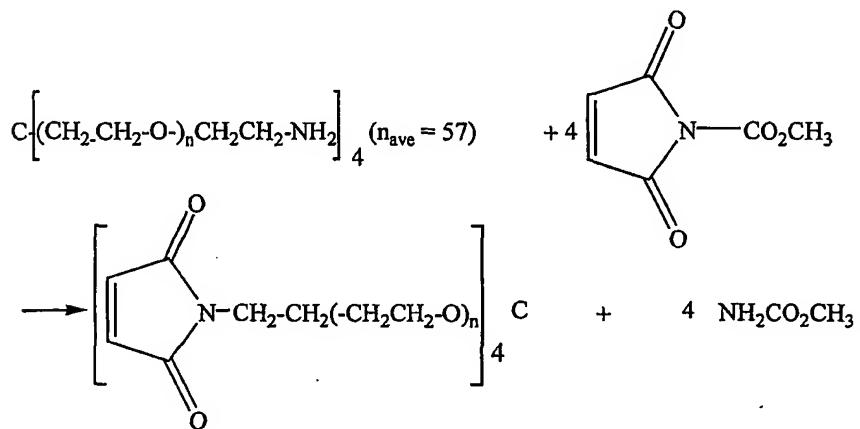
10 $\text{NH}_2\text{-PEG-O-CH}_2\text{CH}_2\text{CO}_2\text{H}$ (MW 3400 Da, 2.0 g) was dissolved in a saturated aqueous solution of NaHCO_3 (10 ml) and the mixture was cooled to 0°C . Powdered N-methoxycarbonylmaleimide (0.28 g, 3 eq.) was added with vigorous stirring. After stirring an addition 10 min., 20 ml of H_2O was added and the mixture was stirred for 45 min. at room temperature. The pH was adjusted to 3 with 0.5 N H_2SO_4 and NaCl was added to a concentration of about 15 wt. %. The reaction mixture was extracted with CH_2Cl_2 (100 ml x 3), dried over Na_2SO_4 and evaporated to dryness. After precipitation with ether (150 ml), the product was collected by filtration and dried under vacuum (yield: 1.8 g). Purity was 95 % by GPC. ^1H nmr (dmso-d6): 2.44 ppm, t, $\text{CH}_2\text{-CO}_2\text{-}$; 3.27, t, $\text{CH}_2\text{-N}$; 3.51, br s, PEG backbone); 7.03, HC=CH .

20 N-maleimidyl-PEG-OCH₂CH₂CO₂H (1.0 g) was dissolved in CH₂Cl₂ (15 ml) and N-hydroxysuccinimide (0.042 g, 2 eq.) and DCC (0.074 g, 2 eq.) was added and the solution was stirred overnight at room temperature. The resulting mixture was concentrated under vacuum and the product precipitated by addition of IPA:ether (1:1,

100 ml). The product was washed with ether (30 ml) and dried under vacuum overnight (yield: 0.89 g). ^1H nmr (dmso-d6): 2.81 ppm, s, -CH₂CH₂- on NS; 2.93, t, CH₂-CO₂; 3.51, PEG backbone, br s; 7.03, HC=CH.

5

Example 4
Preparation of 4-Arm 10 KDa PEG maleimide

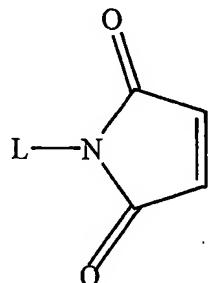


10 4-Arm PEG amine (ave. MW=10,000 Da, 1 g) was dissolved in saturated aqueous NaHCO₃ (5 ml) and the mixture was cooled to 0°C. N-methoxycarbonylmaleimide (0.2 g) was added with vigorous stirring. After stirring for 10 minutes, water (10 ml) was added and the mixture was stirred an additional 45 minutes. The pH was adjusted to 3.0 with 0.5 N sulfuric acid and about 15 wt% NaCl was added. The reaction was extracted with CH₂Cl₂ and the combined extracts were dried over Na₂SO₄, filtered, and the filtrate was evaporated to dryness. Ethyl ether was added and the precipitate collected by filtration and dried under vacuum at room temperature overnight to yield 0.8 g of the product as a white powder. The product had 85% substitution of the
15 maleimidyl group on the PEG moiety. The ^1H nmr was consistent with that of N-maleimidyl 4-arm PEG (nmr: dmso-d6: 3.51 ppm, PEG backbone; 7.03, CH=CH).

20

THAT WHICH IS CLAIMED IS:

1. A multi-functional N-maleimidyl polymer derivative comprising a water soluble and non-peptidic polymer backbone having an average molecular weight from about 800 Da to about 100,000 Da, the polymer backbone having a first terminus bonded to a first functional group and a second terminus having a terminal carbon, wherein said terminal carbon of said second terminus is directly bonded to a N-maleimidyl moiety having the structure:
5



10 wherein L is the point of bonding to the terminal carbon of the second terminus of the polymer backbone.

2. The polymer of Claim 1, wherein said water soluble and non-peptidic polymer backbone has from about 2 to about 300 termini.

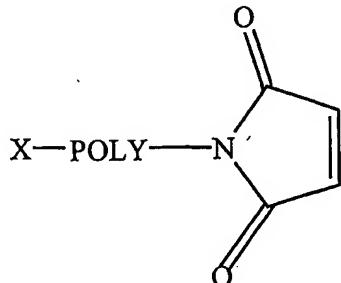
15

3. The polymer of Claim 1, wherein said water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(alkylene glycol), copolymers thereof, terpolymers thereof, and mixtures thereof.

20

4. The polymer of Claim 1, wherein said water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(ethylene glycol), poly(propylene glycol), and copolymers of ethylene glycol and propylene glycol.

5. The polymer of Claim 1, having the structure:



5

wherein:

POLY is a linear water soluble and non-peptidic polymer having a terminal carbon, the terminal carbon being directly bonded to the nitrogen atom of the N-maleimidyl moiety; and

10 X is a functional group.

6. The polymer of Claim 5, wherein POLY is selected from the group consisting of poly(alkylene glycol), copolymers thereof, terpolymers thereof, and mixtures thereof.

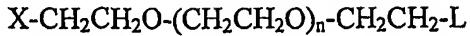
15

7. The polymer of Claim 5, wherein POLY is selected from the group consisting of poly(ethylene glycol), poly(propylene glycol), and copolymers of ethylene glycol and propylene glycol.

20

8. The polymer of Claim 5, wherein X is selected from the group consisting of hydroxyl, protected hydroxyl, active ester, such as N-hydroxysuccinimidyl esters and 1-benzotriazolyl esters, active carbonate, such as N-hydroxysuccinimidyl carbonates and 1-benzotriazolyl carbonates, acetal, aldehyde, aldehyde hydrates, alkenyl, acrylate, methacrylate, acrylamide, active sulfone, amine, protected amine, hydrazide, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, isocyanate, isothiocyanate, maleimide, vinylsulfone, dithiopyridine, vinylpyridine, iodoacetamide, epoxide, glyoxals, diones, mesylates, tosylates, and tresylate.

9. The polymer of Claim 1, wherein the water soluble and non-peptidic polymer backbone has the structure:



5 wherein:

L is the point of bonding to the nitrogen atom of the N-maleimidyl moiety;

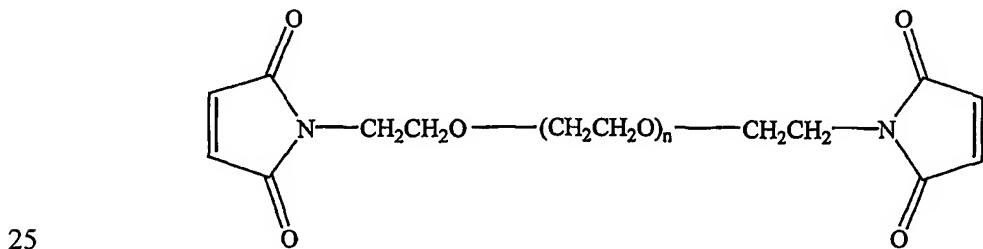
X is a functional group; and

n is about 20 to about 4000.

10 10. The polymer of Claim 9, wherein X is selected from the group consisting of hydroxyl, protected hydroxyl, active ester, active carbonate, acetal, aldehyde, aldehyde hydrates, alkenyl, acrylate, methacrylate, acrylamide, active sulfone, amine, protected amine, hydrazide, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, isocyanate, isothiocyanate, maleimide, 15 vinylsulfone, dithiopyridine, vinylpyridine, iodoacetamide, epoxide, glyoxals, diones, mesylates, tosylates, and tresylate.

11. The polymer of Claim 9, wherein X is selected from the group consisting of -OH, -NH₂, -CO₂H, -CHO, -CH(OC₂H₅)₂, N-hydroxysuccinimidyl 20 esters, 1-benzotriazolyl esters, N-hydroxysuccinimidyl carbonates, 1-benzotriazolyl carbonates, and tresylate.

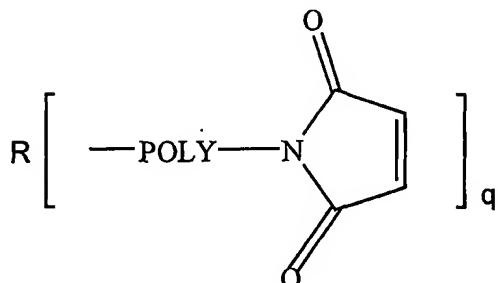
12. The polymer of Claim 1, having the structure:



25

wherein n is about 20 to about 4000.

13. The polymer of Claim 1, having the structure:



wherein:

POLY is a water-soluble and non-peptidic polymer backbone having a terminal carbon, the terminal carbon being directly bonded to the nitrogen atom of the
5 N-maleimidyl moiety;

R is a central core molecule; and

q is an integer from 2 to about 300.

14. The polymer of Claim 13, wherein R is selected from the group
10 consisting of glycerol, glycerol oligomers, pentaerythritol, sorbitol, and lysine.

15. A method of preparing a multi-functional N-maleimidyl polymer derivative, comprising:

15 providing a water-soluble and non-peptidic polymer backbone having an average molecular weight from about 800 Da to about 100,000 Da, the polymer backbone having a first terminus bonded to a first functional group and a second terminus bonded to an amine group;

reacting the polymer backbone with maleic anhydride to form an open ring intermediate; and

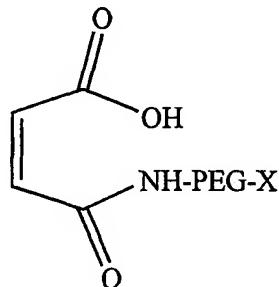
20 heating the open ring intermediate in the presence of acetic anhydride and a salt of acetic acid to form a multi-functional N-maleimidyl polymer derivative product.

16. The method of Claim 15, wherein the water soluble and non-peptidic
25 polymer backbone has from about 2 to about 300 termini.

17. The method of Claim 15, wherein the water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(alkylene glycol), copolymers thereof, terpolymers thereof, and mixtures thereof.

5 18. The method of Claim 15, wherein the water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(ethylene glycol), poly(propylene glycol), and copolymers of ethylene glycol and propylene glycol.

10 19. The method of Claim 15, wherein said reacting step comprises reacting maleic anhydride with X-PEG-NH₂, wherein PEG is poly(ethylene glycol) and X is a functional group, to form an open ring intermediate having the following structure:



15 20. The method of Claim 19, wherein X is selected from the group consisting of hydroxyl, protected hydroxyl, acetal, alkenyl, amine, protected amine, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, maleimide, dithiopyridine, and vinylpyridine.

20 21. The method of Claim 15, further comprising purifying the open ring intermediate by precipitation prior to said heating step.

25 22. The method of Claim 15, wherein said heating step comprises heating the open ring intermediate at a temperature of about 50°C to about 140°C for about 0.2 hours to about 5 hours.

23. The method of Claim 15, further comprising purifying the multi-functional N-maleimidyl polymer derivative product.

24. The method of Claim 23, wherein said purifying step comprises:
passing the N-maleimidyl polymer derivative product through an ion
exchange column;
collecting an eluent containing the N-maleimidyl polymer derivative
product from the column; and
5 precipitating the N-maleimidyl polymer derivative product by
contacting the product with a solvent.

25. The method of Claim 24, wherein the solvent is selected from the
10 group consisting of ethyl ether, isopropanol, and mixtures thereof.

26. The method of Claim 15, further comprising the step of reacting the N-
maleimidyl polymer derivative product with a biologically active agent to form a
biologically active polymer conjugate.

15 27. The method of Claim 26, wherein the biologically active agent is
selected from the group consisting of peptides, proteins, enzymes, small molecule
drugs, dyes, lipids, nucleosides, oligonucleotides, cells, viruses, liposomes,
microparticles and micelles.

20 28. A method of preparing a N-maleimidyl polymer derivative, comprising
providing a water-soluble and non-peptidic polymer backbone having
an average molecular weight from about 800 Da to about 100,000 Da, the polymer
backbone having a terminal amine group; and

25 reacting the polymer backbone with an N-alkoxycarbonylmaleimide to
form a N-maleimidyl polymer derivative product.

29. The method of Claim 32, wherein the water-soluble and non-peptidic
polymer backbone has the formula X-PEG-NH₂, wherein PEG is poly(ethylene
30 glycol) and X is a capping group.

30. The method of Claim 29, wherein X is selected from the group
consisting of alkoxy, hydroxyl, protected hydroxyl, acetal, alkenyl, amine, protected

amine, protected hydrazide, protected thiol, carboxylic acid, protected carboxylic acid, maleimide, dithiopyridine, and vinylpyridine.

31. The method of Claim 28, further comprising purifying the N-maleimidyl polymer derivative product.

32. The method of Claim 28, wherein the water soluble and non-peptidic polymer backbone has from about 2 to about 300 termini.

10 33. The method of Claim 28, wherein the water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(alkylene glycol), copolymers thereof, terpolymers thereof, and mixtures thereof.

15 34. The method of Claim 28, wherein the water soluble and non-peptidic polymer backbone is selected from the group consisting of poly(ethylene glycol), poly(propylene glycol), and copolymers of ethylene glycol and propylene glycol.

20 35. The method of Claim 28, further comprising the step of reacting the N-maleimidyl polymer derivative product with a biologically active agent to form a biologically active polymer derivative.

25 36. The method of Claim 35, wherein the biologically active agent is selected from the group consisting of peptides, proteins, enzymes, small molecule drugs, dyes, lipids, nucleosides, oligonucleotides, cells, viruses, liposomes, microparticles and micelles.